

INSTRUCTIONS TO RESPONDENTS

- Interested respondents **must** complete registration form at <https://southbendin.gov/doing-business/invitations-to-bid-city-contracts/> to receive addenda, updates, and site visit details. All updates will be provided via email.
- Review the required Non-collusion form also available at the Invitations to Bid website. Do not submit qualifications if these terms are not acceptable.
- Questions are welcome and appreciated. Questions should be submitted by email to Becca Plantz (rplantz@southbendin.gov). Questions are due by 5 PM on March 5, 2026. Answers to all questions will be provided in writing to all **registered** respondents.
- If the City determines any new information, question, or concern alters the RFQ, an addendum will be issued to revise the RFQ.
- Responses must be submitted electronically to the Office of the City of South Bend, Indiana, Board of Public Works at bpwbids@southbendin.gov by 9:00 a.m., EDT, on **March 24, 2026**. Responses should be clearly marked **“Response Enclosed for Edison Water Treatment Plant Improvements Project”** in the subject line of the email to which you have attached your bid. The name of the company/vendor, address, contact email address and phone number must be included in the body of the email. Detailed instructions and information, including the link to the Reserved Mailbox for electronic bid submittals, is available at southbendin.gov/bids
- Late responses will not be accepted.
- The City is not liable for any cost incurred by any person or firm responding to this RFQ.
- The City reserves the right to reject as nonresponsive any responses that do not contain the information requested in this RFQ and in the format outlined in this RFQ.

SCOPE OF PROJECT

The scope of the South Bend Edison Water Plant Improvements Project involves furnishing all material, equipment and labor to complete the following:

- a. Aerator Removal and Reaction Basin Improvements.
- b. Gravity Filter Improvements.
- c. Clear Well and Pump Room Improvements.
- d. Chemical System Improvements.
- e. Electrical and Control Upgrades.
- f. Filter Backwash Process Improvements.
- g. Backwash Waste & Sludge Dewatering Improvements and Additions.
- h. Building Structure Improvements & Additions.

CONSTRUCTION CONSTRAINTS

The Contractor shall complete the Project with the following constraints:

- a. The Work must be Substantially Complete within 330 calendar days from Notice to Proceed.
- b. The Work must be completed with a maximum plant shut down duration of 240 calendar days within the project schedule.

SRF LOAN PROGRAM REQUIREMENTS

- a. The Project is anticipated to be partially funded through the Indiana State Revolving Fund (SRF) Loan Program administered by the Indiana Finance Authority (IFA). Any construction contract will include provisions required by the SRF program including Davis-Bacon Wage Requirements, Green Project Reserve (GPR) Sustainability Incentive Requirements, and American Iron and Steel Requirements (AIS).
- b. The following attached documents are provided for reference to satisfy bidding and contracting requirements of the SRF Loan Program:
 - i. Front-End Document Certification
 - ii. Attachment A: Required Contract Provisions Related to Nondiscrimination
 - iii. Attachment B: Required Contract Provisions Related to Davis-Bacon Act and Related Acts
 - iv. Attachment C: Required Contract Provisions Related to Suspension and Debarment
 - v. Attachment D: Required Bid Instruction Related to GPR Components
 - vi. Attachment E: GPR Bid Breakdown
 - vii. Attachment F: GPR Final Summary
 - viii. Attachment G: Required Contract Provisions Related to American Iron and Steel
 - ix. Attachment H: Required Certification from Contract Related to American Iron and Steel
 - x. Attachment I: Useful Life Certification

GENERAL

- a. It is the intent of the City to select a single Provider to work with the City and the engineer to provide value engineering, finalize the project scope, negotiate a Guaranteed Maximum Price (GMP) with open book pricing, and develop a Guaranteed Savings Contract agreement for incorporation into Engineer's construction documents.
- b. Costs for preparation of the Request for Qualifications ("RFQ") response, coordination for the final construction documents, and preparation of the GMP will not be reimbursed by the Owner and are the responsibility of the Provider.
- c. Owner shall be the City of South Bend
- d. The RFQ response shall be limited to sixty (60) single-sided pages including resumes. All pages shall be letter-sized (8.5" x 11") or ledger-sized (11" x 17")
- e. Submit one digital copy of the Response.
- f. The terms defined in the RFQ shall have the same meaning in these Guidelines.
- g. The Provider must be certified and meet the requirements of IC 4-13.6-4.
- h. Any company providing Work owned in part or whole by a shareholder, member, or officer of the chosen Provider shall be considered a division of Provider subject to all financial and other open price disclosures and requirements of Provider.
- i. Provider should be prepared to submit its qualifications in a manner consistent with the City of South Bend's Code of Ordinances Chapter 14.5 – Inclusive Procurement and Contracting with the City with respect to contracting with minority and women owned businesses.
- j. The chosen Provider shall be responsible before submitting a GMP to:
 - i. examine, carefully study, and provide input on Engineer's construction documents,
 - ii. visit the project site and become familiar with and satisfy itself as to the general, local, and site conditions that may affect cost, progress, and performance of the work;
 - iii. become familiar with and satisfy itself as to all federal, state, and local Laws and Regulations that may affect cost, progress, and performance of the work;
 - iv. carefully study all available reports of explorations and tests of subsurface conditions at or contiguous to the site and all drawings of physical conditions in or relating to existing surface or subsurface structures at or contiguous to the site;
 - v. agree at the time of submitting its GMP that no further examinations, investigations, explorations, tests, studies, or data are necessary for the determination of its GMP for performance of the work at a price not exceeding the GMP and within the times in accordance with the other terms and conditions of the construction documents;
 - vi. become aware of the general nature of the work to be performed by Owner, if any, and others at the site that relates to the Work; and
 - vii. determine that the construction documents are sufficient to indicate and convey understanding of all terms and conditions for the performance of the work.
- k. The selected Provider shall submit performance bonds and payment bonds, each in the amount of 100% of the GMP at the execution of the GSC.

RESPONSE CONTENT AND FORMAT GUIDELINES

The following Pages and Exhibits make up the South Bend Edison Water Treatment Plant Improvements Project request for Qualifications (RFQ) Guideline as outlined in the (local paper sources) RFQ advertisement.

Although the Responses are to be in the form of a proposal outlining one or more construction measures and are to be in accordance with IC-36-1-12.5, it is the City's intent to obtain a detailed price proposal from on the selected Provider. The Responses should include the following elements:

Cover Page

Identify the **name** of the company, primary and secondary **contact person** (including name, email and phone number), **address** of the company, and that the document is in response to the **CITY OF SOUTH BEND, INDIANA REQUEST FOR QUALIFICATIONS FROM PROVIDERS OF A "GUARANTEED SAVINGS CONTRACT"**

Table of Contents

Responses shall include a table of contents properly indicating the section and page numbers of the information included.

Executive Summary

Responses shall include concise abstract of no more than (2) pages, providing an overview of the Provider's qualifications and approach related to a Water Treatment Plant Guaranteed Savings Contract.

1) Company Background & Qualifications

- a) Provide a history of the Provider with a statement about company mission, vision, and values.
- b) Include the following information on the Provider
 - i) Name of Company
 - ii) Address
 - iii) Telephone Number
 - iv) Email Address of Contact Person
 - v) Contact Person for this Project
- c) Provider's Qualifications and Management
 - i) Number of years company has operated in Indiana
 - ii) Number of employees residing in Indiana

- iii) Company officers
- iv) List the personnel employed by the Provider responsible for this project including the proposed Project Manager and Site Superintendent. Include a resume on each person listing education, experience, work history, and responsibilities on this project.
- v) The most recent audited Financial Report.
- vi) The Provider must be certified and meet the requirements of IC 4-13.6-4 and the RFQ submitted must include a copy of the firm's certification of qualification issued under IC 4-13.6-4.
- vii) Identify Professional Engineers directly employed by the Provider responsible for this project. Include License number of the person responsible for the project.
- viii) Describe if the Provider is a manufacturer, contractor, or engineering firm. Clearly delineate what services or products the Provider is including from their own company as part of the project.
- ix) Identify experience with projects constructed with funding through the Indiana State Revolving Loan Fund.

2) References

Provide references with contact information for Indiana Guaranteed Savings Contract projects completed. Include the location, scope of work, and personnel responsible. Provide a list of all of the water / wastewater utility projects the Provider has completed under the terms of IC 36-1-12.5 (Indiana Guaranteed Savings Contracts).

3) Technical Approach

This section of the response should contain information about the Provider's technical abilities.

- a) The scope of the project will be based on the description included in the Instructions to Respondents above.
- b) Providers are encouraged to present evidence of their team's technical ability relative to such anticipated scope of work by providing a list of water treatment plant experience of the team.
- c) United Consulting will be the engineer of record to work with the selected Provider. Explain the Provider's experiences collaborating with Engineers on past design build or guaranteed savings contract for potable water projects.

4) Project Implementation

- a) Provider's Involvement: Per IC 36-1-12.5-3 the Provider must perform at least 20% of the contract value with its own workforce. Please define how you will meet or exceed this requirement on a percentage basis relative to the work to be completed by your own workforce.

- b) Project Management: Describe how the project would be managed by the Provider. Describe method and means of communication plan for the City and staff. Provide project management documentation on past projects completed by the Provider.
- c) General Construction Subcontract: Identify the General Contractor Team Member who will perform the general construction and the approximate percentage of the contract value that such effort might represent. Explain the Provider's ability to work with such General Construction Team Member including experience working together on past projects.
- d) Other Subcontractor's Involvement: Identify which portions of the project the Provider intends to implement with subcontractors and how the subcontractors will interact with the Team.
- e) Explain how Provider has participated in and documented compliance with the State Revolving Fund requirements for past projects including Davis-Bacon Wage Requirements, Green Project Reserve (GPR) Sustainability Incentive requirements, and American Iron and Steel Requirements (AIS).

5) Financial Approach

- a) Describe in detail the process that the Provider intends to utilize to obtain the best prices for the City. Explain why this approach is better than other available approaches.
- b) Explain how the Provider plans to offer a Guaranteed Maximum Price, with no change orders, for this project.
- c) Explain your firm's approach to open book pricing allowing the City to view the pricing structure (markup covering overhead and profit) of the Qualified Provider and subcontractors. Explain your firm's approach to open book pricing allowing the City to view the contingency for the project. Explain your firm's approach to open book pricing allowing the City to view savings from value engineering or other project cost reductions. Submit proposed contractor's fee for the construction contract.
- d) Provide proof that the Provider can furnish a Performance Bond and a Payment Bond each in the amount of 100 percent of the project.

6) Guarantee Management

- a) Explain how the Provider plans to address the guarantee for this type of project and how it meets Indiana requirements of IC 36-1-12.5.
- b) Describe the methodology used to compute the energy baseline.
- c) Described the cost for guarantee management, schedule for delivery and describe the report.

- d) Note how an Energy Savings estimate will be prepared and applied to the process.
- e) Note how an Operations & Maintenance estimate will be prepared and applied to the process.
- f) Note how a Future Capital Expenditure Savings estimate will be prepared and applied to the process.

7) General Requirements

- a) Becca Plantz (rplantz@southbendin.gov). will be the primary contact for the City of South Bend throughout the RFQ process. Attempted contact by a potential Provider with anyone else associated with the owner may result in immediate disqualification.
- b) Reconciliation of the guaranteed sums shall be on an annual basis for twenty years, commencing one year from the date of completion of the total and complete program installation. The Provider will provide the City with annual audits and savings reconciliation over the life of the contract.
- c) The Qualified Provider's guarantee shall be a first party direct guarantee from the Provider to the Local Unit of Government; no third party guarantees shall be accepted.
- d) Costs for the preparation of the RFQ response will not be reimbursed by the City and are the responsibility of the Provider.
- e) The City reserves the right to reject any and all RFQ responses.
- f) Upon contract award the successful respondent shall submit a Performance Bond and Payment Bond in an amount equal to one hundred percent (100%) of the final project cost.
- g) The Provider is responsible for compliance with all Federal, State and Local codes and environmental regulations.
- h) The Provider shall comply with all applicable Laws and Regulations including, but not limited to, Occupational Safety and Health Administration (OSHA) relating to persons or property, or to the protection of persons or property from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. The Provider shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work.

Scoring Responses to the RFQ

It is the intent of the City of South Bend to select a single Provider to work with the City and the engineer to provide value engineering, finalize the project scope, negotiate a Guaranteed Maximum Price (with open book pricing) and develop a Guaranteed Savings Contract agreement that meets or exceeds the City’s expectations.

A score on the components below will be assigned to each RFQ response and selection shall be based on this scoring.

Area	Percentage	Score
Background and Qualifications	5%	0 – 5
References	20%	0 – 20
Technical Approach	25%	0 – 25
Project Implementation	20%	0 – 20
Financial Approach	20%	0 – 20
Guarantee Management	10%	0 - 10
Total	100%	0 -100

Preliminary South Bend Guaranteed Savings Contract Project Tentative Schedule

Request for Qualifications Issued by Owner	February 13, 2026
Owner-led Site Walk-Through	February 24, 2026
Deadline for Provider Questions	March 5, 2026
Receive RFQ Responses from Guaranteed Savings Contract Providers	March 24, 2026
Board of Public Works Selection Ratified	April 14, 2026
Board of Public Works Approve Contract with Selected Provider	May 12, 2026
Date for Construction Completion	June 2027

**SRF LOAN PROGRAM
REFERENCE DOCUMENTS**



FRONT-END DOCUMENT CERTIFICATION (FEDC)

I Paul Glotzbach of United Consulting certify
(Engineer's Name) (Engineering Firm)

that the bidding documents for the Edison Water Filtration Plant project(s) for the
(Project Name)

City of South Bend comply with all the Non-Equivalency
(SRF Applicant) (Equivalency OR Non-Equivalency)

bidding requirements of the State Revolving Fund (SRF) Loan Programs, the Indiana Finance Authority (IFA) and the State of Indiana (State) which include, among others, the following (with references to attached documents):

1. **Nondiscrimination** All bidding documents and contracts for work must include the contract provisions provided in Attachment A.
2. **Davis-Bacon Act** and related laws and regulations.
 - a) A copy of the applicable Davis-Bacon wage determination will be included in all contracts and subcontracts for work.
 - b) A copy of the wage determination and the Davis-Bacon poster (WH-1321) will be posted by the contractor and subcontractors at the project site in a prominent and accessible place where it can be easily seen by workers.
 - c) All contracts and subcontracts for work will include the provision provided in Attachment B.
3. **Suspension and Debarment** All contracts for work will include terms and conditions similar to those provided in Attachment C.
4. **Green Project Reserve (GPR) Incentive Program** If GPR approved components are included in this project, solicitations or requests for bids shall include instructions similar to those provided in Attachment D. Bidders shall provide the bid amount allocated to each GPR component with their bid using the GPR Bid Breakdown in Attachment E. Engineer shall provide the GPR Final Summary (Attachment F) to SRF with the post-bid documentation submittal.
5. **American Iron and Steel (AIS) Clause**
 - a) All procurement contracts must include AIS language as set forth in Attachment G. Bid documents must inform the bidders of this requirement.
 - b) Prior to entering into a procurement contract, all successful bidders will certify in the form as set forth in Attachment H to such matters. (Bid documents must inform the bidders of such matters).
 - c) Section 608 of the Clean Water Act and Section 1452(a)(4)(A) of the Safe Drinking Water Act require that none of the appropriated funds may be used for the construction, alteration, maintenance, or repair of a public water system or treatment works unless all of the iron and steel products used in the project are produced in the United States unless a waiver is provided to the recipient by US EPA (EPA Waiver).
6. **Useful Life Certification** (Attachment I)

If the financing will include direct federal funds from the SRF Loan program, known as **Equivalency**, the following will also be required:

1. ~~**Disadvantaged Business Enterprise (DBE) Efforts**~~ All efforts to provide opportunities for DBEs shall be documented and submitted following the instructions and form in Attachment J.
2. ~~**Contract Provisions Related to Certain Telecommunication and Video Surveillance Services and Equipment for Equivalency Projects**~~
 - a) All procurement contracts must include Telecommunication language as set forth in Attachment K. Bid documents must inform the bidders of this requirement.
3. ~~**Build America, Buy America (BABA) Clause (replaces AIS when applicable)**~~
 - a) All procurement contracts for Equivalency loans must include BABA language as set forth in Attachment L. Bid documents must inform the bidders of this requirement.
 - b) Prior to entering into a procurement contract on Equivalency Loans, all successful bidders will certify in the form as set forth in Attachment M to such matters. (Bid documents must inform the bidders of such matters).

Bids are expected to be open on (date): March 24, 2026

Please check one of the following regarding how long bids will be held after opening:

- 60 days
 90 days
 Other: _____

Please check one of the following regarding permanent land acquisition:

- I certify that no land/easement acquisition was required for this project.
 Land/easement acquisition is not yet complete, so we are requesting a mutually agreed upon date of _____ to have all acquisition finalized.
 All land acquisition is complete and a letter from the SRF applicant and their attorney is attached certifying compliance with 49 CFR Part 24.

Please list conditions incorporated into the bidding documents pursuant to the SRF Preliminary Decision of Categorical Exclusion/Finding of No Significant Impact (e.g., tree clearing restriction, trenchless pipe installation requirements, etc.):

None

Please complete the following table regarding permits (no need to identify local/county permits):

Permit	Permit required? Please circle one.	If required, date submitted? (If not required, leave blank)	If required, date approved or expected date of approval? (If not required, leave blank)
IDEM Construction	<input checked="" type="checkbox"/> Y / N	March 16, 2026	May 20, 2026
IDEM Wetlands/Stream	Y / <input checked="" type="checkbox"/> N		
Army Corps of Engineers	Y / <input checked="" type="checkbox"/> N		
NOI – IDEM Stormwater	Y / <input checked="" type="checkbox"/> N		
NOI – Watermain Extension	Y / <input checked="" type="checkbox"/> N		
DNR Floodway/Floodplain	Y / <input checked="" type="checkbox"/> N		
Other: _____	Y / N		

(Engineer Signature)

(Date)

By signing below, SRF Applicant authorizes the Engineer to act on SRF Applicant’s behalf in complying with all applicable federal, state and local laws including, but not limited to, those identified in this certification. By signing below, SRF Applicant further agrees to the oversight and enforcement of all applicable federal, state and local laws including, but not limited to, the completion and submission of the Davis-Bacon Compliance weekly transmittals and all accompanying actions and documentation. SRF Applicant understands and acknowledges that this authorization does not alter or relieve any of its responsibilities under this certification or the Financial Assistance Agreement. SRF Applicant further understands and acknowledges that this certification is offered as guidance to assist SRF Applicant with its obligation to comply with all applicable laws and requirements.

(SRF Applicant Representative Signature)

(Date)



**FRONT-END DOCUMENT CERTIFICATION (FEDC)
ATTACHMENTS**

- Attachment A:** Required Contract Provisions Related to Nondiscrimination
- Attachment B:** Required Contract Provisions Related to Davis-Bacon Act and Related Acts
- Attachment C:** Required Contract Provisions Related to Suspension and Debarment
- Attachment D:** Required Bid Instruction Related to GPR Components
- Attachment E:** GPR Bid Breakdown
- Attachment F:** GPR Final Summary
- Attachment G:** Required Contract Provisions Related to American Iron and Steel
- Attachment H:** Required Certification from Contract Related to American Iron and Steel
- Attachment I:** Useful Life Certification

If the financing will include direct federal funds from the SRF Loan program, known as **Equivalency**, the following will also be required:

- Attachment J:** Required Contract Provisions Related to Disadvantaged Business Enterprise (DBE) Efforts
- Attachment K:** Telecommunications Provisions
- Attachment L:** BABA Contract Provisions
- Attachment M:** BABA Certification from Contractor

Required Contract Provisions Related to Nondiscrimination

Below are required contract conditions that apply to all Clean Water and Drinking Water State Revolving Fund projects and contains forms that must be used in the procurement process. This must be physically included in all bidding and contract documents.

This project is being financed in whole or in part by the Indiana State Revolving Fund Loan Programs. The loan recipient is required to comply with the following federal and state laws, rules and regulations and must ensure that their contractor(s) also comply with these regulations, laws and rules.

1. Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Rehabilitation Act of 1973 (29 U.S.C. 794), the Age Discrimination Act of 1975 (42 U.S.C.6102), Section 504 of the Rehabilitation Act of 1973, Section 13 of the Federal Water Pollution Control Act Amendments of 1972, EPA Civil Rights regulations (40 CFR Part 7), and subsequent regulations, ensures access to facilities or programs regardless of race, color, national origin, sex, age, or handicap.

The contractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract.

2. The contractor shall comply with Section 306 of the Clean Air Act and Section 508 of the Clean Water Act.
3. 2 CFR 180 prohibits entering into contracts or sub-contracts with individuals or businesses who are debarred or suspended. Borrowers are required to check the status of all contractors (construction and professional services) at www.sam.gov and must require contractors to check the status of subcontractors for contracts expected to equal or exceed \$35,000 (FAR 9.409)
4. All federally funded contracts will comply with the terms of 49 CFR 24, the Uniform Relocation and Real Property Acquisition Policies Act
5. Indiana Code 36-1-12-12, Requires the board to withhold final payment to a contractor until the contractor has paid the subcontractors, material suppliers, laborers, or those furnishing services
6. Indiana Code 36-1-12-13.1, requires performance and payments bonds equal to 100% of the contract price if the cost of the public work is estimated to be more than \$200,000.

Attachment B

Required Contract Provision Related to Davis-Bacon Act and Related Acts (DBRA)

The following provision shall be included in each procurement contract. The SRF Applicant shall remain responsible for compliance with DBRA. Such SRF Applicant has been encouraged to consult with its advisors and counsel regarding such matters and, in any event, understands that the use of the following provision does not relieve the SRF Applicant from its obligation to comply with DBRA and related provisions of any financial assistance agreement entered into with the Indiana Finance Authority. The State Revolving Fund Loan Programs, the Indiana Finance Authority or the State of Indiana shall not be responsible for or limited by any SRF Applicant's use of the following provision.

By accepting this contract, the contractor acknowledges and agrees to the terms provided in the [DBRA Requirements for Contractors and Subcontractors Under EPA Grants](#).

Required Contract Provisions Related to Suspension and Debarment

A provision substantially like the below shall be included in each procurement contract related to the Project. The SRF Applicant shall remain responsible for compliance with applicable law (including Suspension, Debarment, and Other Responsibility Matters). Such SRF Applicant has been encouraged to consult with its advisors and counsel regarding such matters and, in any event, understands that the use of the following does not relieve the SRF Applicant from its obligation to comply with applicable law (including Suspension, Debarment, and Other Responsibility Matters) and related provisions of any financial assistance agreement entered into with the Indiana Finance Authority, nor will the State Revolving Fund Loan Programs, the Indiana Finance Authority or the State of Indiana be responsible for or limited by any SRF Applicant's use of the following provision.

Contractor shall fully comply with Subpart C of 2 CFR Part 180 and 2 CFR Part 1532, entitled "Responsibilities of Participants Regarding Transactions (Doing Business with Other Persons)." Contractor is responsible for ensuring that any lower tier covered transaction as described in Subpart B of 2 CFR Part 180 and 2 CFR Part 1532, entitled "Covered Transactions," includes a term or condition requiring compliance with Subpart C. Contractor is responsible for further requiring the inclusion of a similar term or condition in any subsequent lower tier covered transactions. Contractor may access the Excluded Parties List System at www.sam.gov. This term and condition supersedes EPA Form 5700-49, "Certification Regarding Debarment, Suspension, and Other Responsibility matters."

Required Bid Instruction Related to GPR Components

A provision substantially like the following shall be included with the instructions to Bidders if U.S. EPA Green Project Reserve (GPR) components are included in this Project. The SRF Applicant shall remain responsible for compliance with applicable law. Such SRF Applicant has been encouraged to consult with its advisors and counsel regarding such matters and, in any event, understands that the use of the following does not relieve the SRF Applicant from its obligation to comply with applicable law and related provisions of any financial assistance agreement entered into with the Indiana Finance Authority, nor will the State Revolving Fund Loan Program, the Indiana Finance Authority or the State of Indiana be responsible for or limited by any SRF Applicant's use of the following provision.

U.S. EPA Green Project Reserve Program

Certain portions or components of this Project, which are described in the GPR Bid Breakdown form furnished with the Bid Documents, qualify for the U.S. EPA Green Project Reserve (GPR) Program and/or the Sustainability Incentive offered by the Indiana State Revolving Fund (SRF) Loan Program. Bidder shall complete the GPR Bid Breakdown form and submit the completed form with its Bid. This information is required by the Indiana SRF Program and **Bidder's failure to fully and accurately complete the GPR Bid Breakdown form and submit it with its Bid may result in the Bid being rejected as non-responsive.**

Attachment F

GPR FINAL SUMMARY
(To be completed by Consulting Engineer)

Specific components of this project, identified below, qualify for the Green Project Reserve (GPR) Incentive Program offered by the Indiana State Revolving Fund (SRF) Loan Program.

Engineering Firm: _____

Community: _____

Project Title: _____

Section I Instructions:

Consulting Engineer shall provide a list of GPR approved project components and provide the dollar amount the successful bidder included in its bid for each GPR component. This section should be consistent with the information provided in the GPR Bid Breakdown (Attachment E).

Section II Instructions:

Consulting Engineer shall provide the engineering-related (i.e. planning, design) costs associated with the GPR approved project components.

Section III Instructions:

Consulting engineer shall total both Section I and Section II costs to provide a total project GPR amount.

Section I – Construction GPR

GPR Component Description	GPR Component Price

TOTAL CONSTRUCTION GPR COST: \$ _____

Section II – Engineering GPR

GPR Planning Cost	GPR Design Cost	Total

TOTAL ENGINEERING GPR COST: \$ _____

Section III – Total Project GPR

TOTAL PROJECT GPR COST \$ _____
(CONSTRUCTION GPR + ENGINEERING GPR)

Attachment G

Required Contract Provisions Related to American Iron and Steel

A provision substantially like the below will be included in each procurement contract when such contract involves the procurement of iron and steel to be used in the Project. The SRF Applicant shall remain responsible for compliance with applicable law (including American Iron and Steel). Such SRF Applicant has been encouraged to consult with its advisors and counsel regarding such matters and, in any event, understands that the use of the following does not relieve the SRF Applicant from its obligation to comply with applicable law (including American Iron and Steel) and related provisions of any financial assistance agreement entered into with the Indiana Finance Authority, nor will the State Revolving Fund Loan Programs, the Indiana Finance Authority or the State of Indiana be responsible for or limited by any SRF Applicant's use of the following provision.

The Contractor hereby acknowledges to and for the benefit of the _____ (“Owner”) and the Indiana Finance Authority (the “Authority”) that it understands the work, goods and services under this Agreement are being funded with monies made available by the State Revolving Fund Loan Program and such appropriation contains provisions commonly known as “American Iron and Steel” (and as such is supplemented from time to time by federal rules and guidance) that requires all of the iron and steel products used in the project be produced in the United States (“American Iron and Steel Requirements”) including iron and steel products provided by the Contractor pursuant to this Agreement. The Contractor hereby represents and warrants to and for the benefit of the Owner and the Authority, and agrees, that (a) the Contractor has reviewed and understands the American Iron and Steel Requirements, (b) all of the iron and steel products used in the project as provided by the Contractor under this Agreement will be and/or have been produced in the United States in a manner that complies with the American Iron and Steel Requirements and (c) the Contractor will provide any further certification or assurance of compliance with this paragraph as may be requested by the Owner or the Authority. Notwithstanding any other provision of this Agreement, any failure to comply with this paragraph by the Contractor shall permit the Owner and the Authority to recover as damages against the Contractor (and the Contractor shall indemnify and hold the Owner and the Authority harmless against) any loss, expense or cost (including without limitation attorney’s fees) incurred by the Owner or the Authority resulting from any such failure (including without limitation any impairment or loss of funding, whether in whole or in part, from the Authority or any damages owed to the Authority by the Owner). While the Contractor has no direct contractual privity with the Authority, as a lender to the Owner for the funding of its project, the Owner and the Contractor agree that the Authority is a third-party beneficiary and neither this paragraph (nor any other provision of this Agreement necessary to give this paragraph force or effect) shall be amended or waived without the prior written consent of the Authority.

Attachment H

Required Certification from Contractor Related to American Iron and Steel

A certification substantially like the below will be obtained in advance of entering each procurement contract when such contract involves the procurement of iron and steel products to be used in the Project. The SRF Applicant shall remain responsible for compliance with applicable law (including American Iron and Steel). Such SRF Applicant has been encouraged to consult with its advisors and counsel regarding such matters and, in any event, understands that the use of the following does not relieve the SRF Applicant from its obligation to comply with applicable law (including American Iron and Steel) and related provisions of any financial assistance agreement entered into with the Indiana Finance Authority, nor will the State Revolving Fund Loan Programs, the Indiana Finance Authority or the State of Indiana be responsible for or limited by any SRF Applicant's use of the following certification.

CERTIFICATION

I _____, of _____
(Name and Title of Certifying Officer) (Successful Bidder)

hereby certify and agree on behalf of the Successful Bidder as its duly authorized representative (and under penalties of perjury) that the Successful Bidder understands and agrees a material term and consideration applicable to the award and entry into a contract with the Successful Bidder by the _____ related to its _____

(SRF Applicant)

(Project Name)

involves the procurement and provision of work, goods and services under a procurement contract to be entered into with the SRF Applicant is the Successful Bidder's compliance with the provisions of Section 608 of the Clean Water Act and Section 1452(a)(4)(A) of the Safe Drinking Water Act, commonly known as "American Iron and Steel" provisions as contained therein requiring that all of the iron and steel products used in the Project be produced in the United States ("American Iron and Steel Requirements"). The Successful Bidder hereby represents and warrants to and for the benefit of the SRF Applicant and the Indiana Finance Authority, as a lender to the SRF Applicant for the funding of its Project, and agrees, that (a) the Successful Bidder has reviewed and understands the American Iron and Steel Requirements, (b) all of the iron and steel products used in the Project as provided by the Successful Bidder under its agreement related to the Project will be produced in the United States in a manner that complies with the American Iron and Steel Requirements and (c) the procurement contract will include a provision substantially like Attachment I.

I SWEAR OR AFFIRM UNDER THE PENALTIES FOR PERJURY THAT THE ABOVE STATEMENTS ARE TRUE TO THE BEST OF MY KNOWLEDGE.

(Signature)

(Date)

STATE OF _____)
) SS:
COUNTY OF _____)

Before me, a Notary Public in and for said County and State, personally appeared _____, the _____ of _____ who, being first duly sworn, acknowledged the execution of the above and foregoing instrument for and on behalf of said entity.

Dated this ___ day of _____, 2025.

My commission expires:

_____, Notary Public
(Printed)

County of Residence:

Attachment I
Certificate of Engineer
Estimated Useful Life of Project
Indiana Clean Water State Revolving Fund Loan Program

APPLICANT/DESIGN ENGINEER'S WORKSHEET FOR CALCULATING WEIGHTED LOAN TERMS

Applicant: _____
 Project: _____
 Design Engineer: _____
 Design Engineer Signature: _____ Date: _____

Category 1 - Treatment			
Asset	Bid Amount (dollars)	Asset Useful Life	Extension
<i>Structures</i>			\$ -
<i>Equipment</i>			\$ -
<i>Site Piping/Valves & Gates</i>			\$ -
			\$ -
Category 2 - Collection			
Asset	Bid Amount (dollars)	Asset Useful Life	Extension
<i>Piping</i>			\$ -
<i>Rehab/CIPP</i>			\$ -
<i>Manholes/Structures</i>			\$ -
<i>STEP Tanks</i>			\$ -
			\$ -
Category 3 - Conveyance			
Asset	Bid Amount (dollars)	Asset Useful Life	Extension
<i>Interceptor Line</i>			\$ -
<i>Force Main</i>			\$ -
<i>Valves</i>			\$ -
			\$ -
Category 4 - Pumps			
Asset	Bid Amount (dollars)	Asset Useful Life	Extension
<i>Pump Station</i>			\$ -
<i>Grinder Pumps</i>			\$ -
			\$ -
			\$ -
Category 5 - Other			
Asset	Bid Amount (dollars)	Asset Useful Life	Extension
<i>Meters</i>			\$ -
<i>Buildings</i>			\$ -
<i>Site Work/Restoration/Roads</i>			\$ -
<i>Vehicles</i>			\$ -
<i>Emergency Power</i>			\$ -
<i>I&C/SCADA/Electrical</i>			\$ -
			\$ -
Totals	\$ -		\$ -
Project Useful Life:		#DIV/0!	

Italicized items listed above are suggestions and not meant to be all inclusive.

Microsoft Excel spreadsheet available on SRF website

Revised 4/2025

Attachment I
Certificate of Engineer
Estimated Useful Life of Project
Indiana Drinking Water State Revolving Fund Loan Program

APPLICANT/DESIGN ENGINEER'S WORKSHEET FOR CALCULATING WEIGHTED LOAN TERMS

Applicant: _____
 Project: _____
 Design Engineer: _____
 Design Engineer Signature: _____ Date: _____

Category 1 - Source (intake or wells)			
Asset	Bid Amount (Dollars)	Asset Useful Life	Extension
<i>Groundwater/ surface water</i>			\$ -
<i>Purchased water</i>			\$ -
			\$ -
			\$ -
Category 2 - Treatment			
Asset	Bid Amount (Dollars)	Asset Useful Life	Extension
<i>Structures</i>			\$ -
<i>Equipment</i>			\$ -
<i>Site Piping/Valves/Gates</i>			\$ -
			\$ -
Category 3 - Storage			
Asset	Bid Amount (Dollars)	Asset Useful Life	Extension
<i>Storage Facilities</i>			\$ -
			\$ -
			\$ -
Category 4 - Distribution/Transmission			
Asset	Bid Amount (Dollars)	Asset Useful Life	Extension
<i>Piping</i>			\$ -
<i>Pumping</i>			\$ -
<i>Hydrants and Valves</i>			\$ -
			\$ -
Category 5 - Other			
Asset	Bid Amount (Dollars)	Asset Useful Life	Extension
<i>Meters</i>			\$ -
<i>Emergency Power</i>			\$ -
<i>Electrical/I&C/SCADA</i>			\$ -
<i>Site Work/Restoration/Roads</i>			\$ -
<i>Buildings</i>			\$ -
<i>Vehicles</i>			\$ -
			\$ -
Totals	\$ -		\$ -
Project Useful Life:		#DIV/0!	

Italicized items listed above are suggestions and not meant to be all inclusive.

Microsoft Excel spreadsheet available on SRF website

Revised 4/2025